



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s):	) Title: Novel Serine Threonine
Boylan et al.	) Kinase Member, h2520-59
	)
Serial No: 09/909,474	)
	) Group Art Unit: Not yet assigned
Filed: July 19, 2001	)
	) Examiner: Not yet assigned

**AMENDMENT TRANSMITTAL**

**Commissioner for Patents**  
**Washington, D.C. 20231**

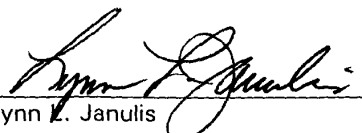
Sir:

Transmitted herewith are the following documents: (1) a preliminary amendment with attached paper and computer-readable copies of a substitute sequence listing, (2) A Statement Pursuant to 37 C.F.R. § 1.825, (3) a joint inventors' declaration, and (4) a copy of a Notice to File Missing Parts for the above application.

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**CERTIFICATE OF MAILING (37 CFR 1.8)**

I hereby certify that this paper and the documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, postage prepaid, on **November 19, 2001**, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

  
Lynn E. Janulis

1. **Small Entity Status**

☒ Has not been established.

2. **Fee for Claims**

The fee for additional claims [(37 CFR 1.16(b)-(d))] has been calculated as shown below:

					SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amendment	Highest No. Previously Paid For		Present Extra	Rate	Additional Fee	Rate	Additional Fee
TOTAL	83	MINUS	20	63	X 9 =	\$	X 18 =	\$1,134
INDEP.	15	MINUS	3	12	X 42 =	\$	X 84 =	\$1,008
<input checked="" type="checkbox"/> First Presentation of Multiple Dependent Claim					+ 140 =	\$	+ 280 =	\$280
TOTAL ADDITIONAL FEE						\$	OR	\$2422

3. **Fees Due**

- ☒ Basic application filing fee in the amount of: \$740.00
- ☒ Fee for additional claims in the amount of: \$2422.00
- ☒ Fee for late filing of inventors' declaration in the amount of: \$130.00

4. **Method of Payment of Fees**

Charge Deposit Account No. 13-2855  
in the amount of: \$3,292.00

5. **Deposit Account and Refund Authorization**

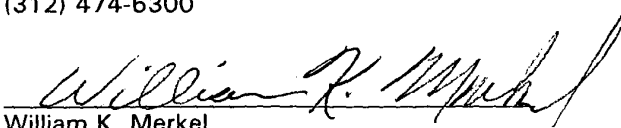
The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 1.17 to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6357  
(312) 474-6300

By:

  
William K. Merkel  
Reg. No: 40,725

November 19, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,474	07/19/2001	John F. Boylan	01017/36524A

CONFIRMATION NO. 7250

04743  
MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN  
6300 SEARS TOWER  
233 SOUTH WACKER DRIVE  
CHICAGO, IL 60606-6402

## FORMALITIES LETTER



\*OC000000006569942\*

Adjustment date: 02/15/2002 NPRASASO  
01/04/2002 SHINASSI 00000072 132855 09909474  
02 FC:103 1134.00 CR

02/15/2002 NPRASASO 00000009 132855 09909474

01 FC:103 1098.00 CH

Date Mailed: 09/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/15/2002 SHINASSI 00000072 132855 09909474

FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 CH  
02 FC:103 1134.00 CH  
03 FC:102 1008.00 CH  
04 FC:104 280.00 CH  
05 FC:105 130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Docketed: 11/18/01

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$3470.
  - \$2160 for 120 total claims over 20.
  - \$1040 for 13 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.

Docketed: 11/18/01

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4310.

Docketed: 11/18/01

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Docketed: 11/18/01

For questions regarding compliance to these requirements, please contact:

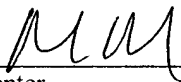
02/15/2002 NPRASASO 00000005 132855 09909474

01 FC:102 84.00 CH

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY